PATENT Attorney Docket No. 536-009.021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

H. MÜSSIG et al. : Intl. Application No.: PCT/EP03/10625

Serial No.: 10/528,868 : Intl. Filing Date: September 24, 2003

Filed: March 24, 2005 : Priority Date: September 26, 2002

For: Semiconductor dielectric component with a praseodymium oxide

dielectric

Commissioner for Patents Mail Stop PCT ATTENTION: EO/US P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to the examination of this application and in respect of which they may have a duty to disclose in accordance with 37 CFR §1.56.

While this Information Disclosure Statement (IDS) may be "material" pursuant to 37 CFR §1.56(b), it is not intended to constitute an admission that any document referred to herein is "prior art" for this invention unless specifically designated as such.

I hereby certify that this correspondence is being deposited with the United States Postal Service on this date, <u>July 12, 2005</u>, in an envelope with sufficient postage as first-class mail addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Annemarie Maher

Serial No. 10/528,868 Attorney Docket No. 536-009.021

In accordance with 37 CFR §1.97(g), the filing of this IDS shall not be construed to mean that a search has been made or that no other material information as defined under 37 CFR §1.56(a) exists.

Enclosed is an International Search Report dated February 24, 2004 issued in International Patent Application No. PCT/EP03/10625 (filed on September 24, 2003), from which the present application has entered the US national phase. Also enclosed is a German Patent Office Search Report dated February 12, 2004 issued in German Patent Application No. 102 45 590.2-33 (filed on September 26, 2002), from which the present application claims priority. Further, enclosed is a Form PTO-1449 listing the cited references. Copies of the cited non-US references are also enclosed herewith (pursuant to the waiver of the USPTO requirement to submit copies of US references). The relevance of each reference is specifically explained in either the International Search Report, the German Patent Office Search Report or the application specification, and the abstract of each reference provides a concise explanation thereof.

This IDS is being submitted prior to receipt of a first Official Action in this matter; therefore, the undersigned respectfully submits that no fee is due for filing this IDS. If any fee is due, the Commissioner is hereby authorized to charge to deposit account 23-0442 any fee deficiency required to submit this IDS.

Respectfully submitted,

Dated: <u>July</u> 11 , 2005

James A. Retter Attorney for the Applicant Registration No. 41,266

WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON LLP
Bradford Green, Building Five
755 Main Street, P.O. Box 224
Monroe, Connecticut 06468
Telephone: (203) 261-1234
Facsimile: (203) 261-5676

USPTO Customer No. 004955

Sheet 1 of 1

			ATTY DOCKET NO.	SERIAL NO.		
ΓΙΟΝ	DISCLOSURE STATEM	JENT	536-009.021	10/528,868		
			APPLICANT: H. MÜSSIG	et al.		
			FILING DATE:	ART UNIT:		
			March 24, 2005	To Be Assigned		
		UNITED STA	TES PATENT DOCUMENTS	S		
	DOCUMENT NUMBER	DATE	INVENTOR/ASSIGNEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002/0063299	May 30, 2002	Kamata et al.			
	2003/0119219	June 26, 2003	Farcy et al.			
	2003/0228747	Dec. 11, 2003	Ahn et al.			
	6,656,852	Dec. 02, 2003	Rotondaro et al.			
		FOREIGN	PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	WO 02/13275	Feb. 14, 2002	PCT/WIPO			-
	0 577 067	Jan. 05, 1994	EP			
	1 096 042	May 02, 2001	EP		-	
	OTHER DOCUMEN	TS (INCLUDING	AUTHOR, TITLE, DATE, PE	RTINENT	PAGES, ETC.)	
H. Ono et al., "Interfacial reactions between thin rare-earth-metal oxide films and Si substrates," <i>Applied Physics Letters</i> , vol. 78, no. 13, March 26, 2001, pp.1832-1834.						
2	Z.M. Wang et al., "Photoemission study of the interaction of a Pr203 overlayer with Si(100) as a function of annealing temperature," <i>Microelectronic Engineering</i> 66, 2003, pp. 608-614.					
3	S. Jeon et al., "Excellent electrical characteristics of lanthanide (Pr, Nd, Sm, Gd, and Dy) oxide and lanthanide-doped oxide for MOS gate dielectric applications," 2001 IEEE, IEDM pp. 01-471 - 474.					
4	H. Müssig et al., "Can praseodymium oxide be an alternative high-K gate dielectric material for silicon integrated circuits?" 2001 IRW Final Report.					
5	H.J. Osten et al., "Epitaxial growth of praseodymium oxide on silicon," <i>Materials Science and Engineering</i> 887, 2001, pp. 297-302.					
6	H.J. Osten et al., "High-K gate dielectrics with ultra-low leakage current based on praseodymium oxide," 2000 IEEE, IEDM pp. 00-653 - 656.					
7	H.J. Osten et al., "Epitaxial, high-K dielectrics on silicon: the example of praseodymium oxide," Microelectronics Reliability 41, XP-002265638, 2001, pp. 991-994.					
Examiner (To be assigned)			Date:			
	1 2 3 4 5 6 7	DOCUMENT NUMBER 2002/0063299 2003/0119219 2003/0228747 6,656,852 DOCUMENT NUMBER WO 02/13275 0 577 067 1 096 042 OTHER DOCUMENT 1 H. Ono et al., "Interfa Physics Letters, vol." 2 Z.M. Wang et al., "Ph annealing temperature 3 S. Jeon et al., "Excel lanthanide-doped oxid 4 H. Müssig et al., "Car integrated circuits?" ; 5 H.J. Osten et al., "Ep B87, 2001, pp. 297- 6 H.J. Osten et al., "Hi 2000 IEEE, IEDM pp. 7 H.J. Osten et al., "Ep Microelectronics Relia	DOCUMENT Number DATE	TION DISCLOSURE STATEMENT APPLICANT: H. MÜSSIG	TION DISCLOSURE STATEMENT 536-009.021 10/528.8 APPLICANT: H. MÜSSIG et al. FILING DATE:	TION DISCLOSURE STATEMENT 536-009.021 10/528,868 APPLICANT: H. MÜSSIG et al.